

82D AIRBORNE DIVISION



RISK MANAGEMENT GUIDE

LEADER'S RISK MANAGEMENT GUIDE

Do not sacrifice training realism for safety or the safety of soldiers for training realism. Both are compatible and needed for our mission and the soldier's well being.

Four basic rules underline the application of risk management, regardless of level and command. They are:

a. Integrate into Planning. Leaders must ensure the risk management process is integrated into all planning phases of training and combat operations.

b. Accept No Unnecessary Risk. The leader who has the authority to accept a risk has the responsibility to protect their soldiers from unnecessary risk. An unnecessary risk is a risk which could be reduced or eliminated and still accomplish the mission.

c. Make Risk Decisions At The Proper Level. The decision to accept or reject a risk must be made at the level of command consistent with the implications of the risk. The following is a list of the approving authority for overall risk levels.

Low- Company Commander

Moderate- Battalion Commander

High- First O-6 **Commander** in the Chain of Command

Extremely High- First GO in the Chain of Command

d. Accept Risk If Benefits Outweigh The Cost. Leaders must understand risks and be prepared to take risks to accomplish their mission. At the same time they must understand the difference between a risk and a gamble.

STANDARDS ARE IN FM 100-4 RISK MANAGEMENT W Ch 1: dtd 8 Aug 05

THE RISK MANAGEMENT PROCESS

Risk Management is a systematic process that helps Leaders make informed decisions

- a. **Hazard Identification.** Detect hazards and risks associated with operations. Identifying risks involves closely looking at each phase of training or operations.
- b. **Initial Assessment.** Each hazard is looked at and an initial risk level is determined based on probability and severity. You may use the Risk assessment tool to determine the initial risk level for each hazard. These first two steps in the Risk Management Process, hazard identification and initial assessment, is your risk assessment.
- c. **Develop Control Measures & Make Decision.** When risk elimination is not possible, risks will be controlled without sacrificing essential mission requirements.
 - 1) Develop control measures for each hazard identified to mitigate the severity of the risk associated with the hazard.
 - 2) Determine if the control measure affected the probability, severity, or both and determine the residual risk for each hazard.
 - 3) Determine the overall risk from all the residual risk. The overall risk cannot be lower than the highest residual risks.
 - 4) Make an informed decision at the appropriate level. Accept the mission if the benefits outweigh the cost.
- d. **Implement Controls.** Implement risk control measures. Leaders will integrate procedures for controlling risk into plans, orders, standing operating procedures (SOPs), preliminary training, and other channels that ensure procedures are used during operations. Implementation will involve the chain of command.
- e. **Supervise and Evaluate Operations.** Supervision techniques used for overall operations (such as spot checks and performance indicators) will be used for risk control.



PROBABILITY

Frequent

Occurs often, continuously experienced

Likely

Occurs several times

Occasional

Occurs sporadically

Seldom

Unlikely, but could occur at some time

Unlikely

Can assume it will not occur



SEVERITY



Catastrophic	Death or permanent total disability, system loss, major property damage.
Critical	Permanent partial disability, temporary total disability in excess of 3 months, major system damage, significant property damage.
Marginal	Minor injury, lost workday accident, compensable injury or illness, minor system damage, minor property damage.
Negligible	First aid or minor supportive medical treatment, minor system impairment.

82D AIRBORNE DIVISION SAFETY



RISK ASSESSMENT MATRIX

E - EXTREMELY HIGH RISK
H - HIGH RISK
M - MODERATE RISK
L - LOW RISK

		PROBABILITY				
		FREQUENT	LIKELY	OCCASIONAL	SELDOM	UNLIKELY
S E V E R I T Y	CATASTROPHIC	E	E	H	H	M
	CRITICAL	E	H	H	M	L
	MARGINAL	H	M	M	L	L
	NEGLIGIBLE	M	L	L	L	L